Attorney's Docket No. 035784/311260

IN THE UNITED STATES DESIGNATED OFFICE (DO/US) WELL US MAY 2006

In re: Long et al.

International Appl. No.: PCT/US2004/036958 International Filing Date: 04 NOVEMBER 2004

For: METHODS OF THERAPY FOR CANCERS EXPRESSING THE CD40 ANTIGEN

May 3, 2006

Attn: DO/US

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

The patents listed on the attached PTO-1449 were cited in the International Search Report of corresponding International Application No. PCT/US2004/036958. A copy of the Search Report is enclosed for the Examiner's convenience. Applicant encloses copies of any cited foreign patent documents and non-patent literature in accordance with 37 CFR 1.98(a)(2). Note that Cite No. 5, EP 1 391 464 A1, is an English equivalent for Cite No. 1, WO 02/088186 A1.

The Examiner may wish to consider the notations on the Search Report itself regarding the relevance of each item. It is requested that the Examiner consider these references and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

Ludin T. He

Leslie T. Henry

Registration No. 45,714

**ALSTON & BIRD LLP** 

Bank of America Plaza

101 South Tryon Street, Suite 4000

Charlotte, NC 28280-4000

Tel Raleigh Office (919) 862-2200

Fax Raleigh Office (919) 862-2260

Customer No. 00826

"Express Mail" Mailing Label Number EV 387075895 US

Date of Deposit: May 3, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Attn:

DQ/US (PTO), Commissioner for Patents, Alexandria, VA 22313-1450.

APON Report Parton no may sone

|                               |                                       |  |                     |   |  |              | 1 200             |                      | 1 1 V       | u u o                                 | AHT             | <u> 4000</u>   |  |
|-------------------------------|---------------------------------------|--|---------------------|---|--|--------------|-------------------|----------------------|-------------|---------------------------------------|-----------------|--|--|
| - denta fe                    | · · · · · · · · · · · · · · · · · · · |  |                     |   |  |              |                   | Complete if K        | Cnox        | WDs are                               | <b>→ c.</b> ( ) | 1300   |  |
| Substitute for (Revised 07/2) |                                       | 449/PTO  |                     |   | Application N  | umb          |                   | To Be Assign         | ned         | 10/2                                  |                 | 378  |  |
| (100000                       | 2001,                                 |  |                     |   | Filing Date  |              |                   | Filed Concurr        | rent/       | ly Herewit                            | <u>ih</u>       |  |  |
| INFO'                         | RMA                                   | TION DISCL   | OSURE               | -   | First Named In   |              | itor              | Long To Be Assign    | <u>-</u> 4  |                                       |                 |  |  |
|                               |                                       | ENT BY APPL  |                     | 12  | Group Art Uni  | <u>it</u>    |                   | To Be Assign         | <u>ieu</u>  |                                       |                 |  |  |
|                               |                                       | many sheets as necessa   |                     | E   | Examiner Nan   | me_          | -                 | To Be Assign         | ned         |                                       |                 | •  |  |
| Sheet                         | 1                                     | l of   | 1                   | A   | Attorney Dock  | ket N        | √umber ′          | 035784/31120         | .60         |                                       |                 |  |  |
|                               |                                       |  |                     |   |  |              |                   |                      |             |                                       |                 |  |  |
| <u> </u>                      | T                                     | Document )   |                     | J. S.   | PATENT D   | OCI          | <u>JMENI</u>      | <u>l'S</u>           |             | T                                     |                 | The Allendaria Control of the Contro |  |
| Examiner                      | Cite                                  | Document N   | <u>/umber</u>       | Pu'   | Iblication Date  |              | Name              | e of Patentee or     |             | _                                     |                 | Lines, Where   |  |
| Initials*                     | No.                                   | Number - Kind Coo  | de (if known)       |   | M-DD-YYYY  |              |                   | of Cited Document    | ıt          | _                                     |                 | Relevant Figures   |  |
|                               |                                       |  |                     | <del>                                      </del> |  | <del> </del> |                   |                      |             |                                       | Uhher           | <u>r</u>   |  |
|                               | <u></u>                               |  |                     |   |  | <u> </u>     |                   |                      |             |                                       |                 |  |  |
| <del></del>                   | 1                                     | Tamina Di  |                     | 1   | GN PATENT  | <u>r dc</u>  | <b>OCUME</b>      | ENTS                 | <del></del> | Column                                | * 1700          | - 1:_L   |  |
| Examiner                      | Cite                                  | 101हाझा । व  | atent Document      | ,   | Publication E  | nate         | Name c            | of Patentee or       |             | Pages, Columns<br>Where Rele          | levant          | English Language   |  |
| Initials                      | No.                                   | Country Code - Nu  | umber Kind Co       | ide '   | MM-DD-YY   |              | Applica           | ant of Cited         |             | Passages or Re<br>Figures App         | Relevant        | Translation  |  |
| 1                             |                                       | (if known)   |                     | ,   |  |              | Docume            | ent                  |             | rigues                                | pear            | Attached   |  |
|                               | 1                                     | TYO 02/08818/  | - A 1               | •   | 11 07 200  | <u></u>      | Wirin             | D Vahuchik           |             | · · · · · · · · · · · · · · · · · · · |                 |  |  |
|                               | 1                                     | WO 02/088186   | ) A I               | ļ   | 11-07-2002   |              | Kırın I<br>Kaisha | Beer Kabushiki<br>ai | ,           |                                       |                 |  |  |
|                               | 2                                     | WO 02/28904  | A2                  |   | 04-11-2002   | 2            | Chiror            | n Corporation        | 1           |                                       |                 |  |  |
|                               | 3                                     | WO 01/83755  | <u>A3</u>           |   | 11-08-2001   | 1            | Gemir             | ni Science, Inc.     | +           |                                       |                 |  |  |
|                               | 4                                     | WO 02/28480 A  | A2                  | <u></u>   | 4-11-2002  | <del></del>  | Chiror            | n Corporation        | +           |                                       |                 |  |  |
|                               | 5                                     | EP 1 391 464 A   | A1                  |   | 02-25-2004   | 4 _          | Kirin I<br>Kaisha | Beer Kabushiki<br>ai | i           |                                       |                 |  |  |
|                               |                                       |  | -                   |   |  | 1            |                   |                      |             |                                       |                 |  |  |
|                               |                                       |  |                     |   |  |              |                   |                      | 1           |                                       |                 |  |  |
|                               |                                       |  |                     |   |  | _            |                   |                      |             |                                       |                 |  |  |
|                               |                                       |  |                     |   |  |              |                   |                      |             |                                       |                 |  |  |
|                               |                                       |  |                     | 07  | THER DOC   | UM           | ENTS              |                      |             |                                       | -               |  |  |
|                               |                                       | Include name of th   | ne author (in CA    | PITA  | LETTERS), t  | ritle o'     | f the articl      | le (when appropriate | te), ti     | tle of the                            | _               | ish Language   |  |
| Examiner                      | Cite                                  | item (book, magazi   | zine, journal, seri | rial, syı   | ymposium, catalo   |              |                   | page(s), volume-iss  |             |                                       |                 | ranslation<br>Attached   |  |
| Examiner Initials*            | Cite<br>No.                           | publisher, city and/   |                     |   |  |              |                   |                      | _           | -                                     |                 | Illaviica  |  |
|                               | 6                                     | GISSELBREC   | ut C. et al         | '"Ir  |  | Trea         | tment in          | Tymphoma: A          | Ph          |                                       |                 |  |  |
|                               |                                       |  | •                   | •   | "Interleukin-2 Treatment in Lymphoma: A Phase II 994, pp. 2081-2085, Vol. 83(8). |              |                   |                      |             |                                       |                 |  |  |
|                               | 7                                     | ROSENBERG  | S.A., et al.,       | "A J  | Progress Rep   | ort (        | on the T          | reatment of 157      | 7 Pa        | tients                                | d bonner.       | ***************************************  |  |
| 1                             | 1                                     | with Advanced  | l Cancer Usin       | ng Ly   | ymphokine-A  | Activa       | ated Kill         | ller Cells and In    | nterle      | eukin-2                               |                 |  |  |
|                               |                                       | or High-Dose Interleukin-2 Alone," <i>The New England Journal of Medicine</i> , 1987, pp. 889-897, Vol. 316(15). |                     |   |  |              |                   |                      |             |                                       |                 |  |  |
| Examiner                      | .—                                    | pp. 007-07.,   | 01. 310(10).        | <del></del>                                       |  |              |                   | Date                 |             |                                       |                 |  |  |
| Signature                     |                                       |  |                     |   |  |              |                   | Considered           | 1           | _                                     |                 |  |  |

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.